

Neon Vinimay Private Limited

APPLICATION SOFTWARE POLICY

Policy created by	Designated Officer
Policy reviewed by	Technology Committee
Policy reviewed on	30/12/2023
Policy Approved by	Board of Directors
Policy approved on	04/01/2024

Version - 1.0



Purpose and Scope

This Application Software Policy outlines the guidelines and procedures for the selection, usage, and management of application software within our company. The objective is to ensure the security, stability, and compliance of our trading platform while providing a seamless user experience.

Authorized Software

Approval Process

- All application software used within the organization must be approved by the IT Department.
- Users must submit requests for new software, and approvals will be granted based on security, compatibility, and regulatory compliance.

List of Authorized Software

- A maintained list of authorized application software will be available to all users.
- Regular updates to the list will be provided by the IT Department.

Security and Compliance

Encryption Standards

All application software must comply with industry-standard encryption protocols to ensure the security of user data and transactions.

Compliance with Regulations

Application software must comply with all relevant regulatory requirements in the financial industry.

Compatibility and System Requirements

- Users must ensure that their systems meet the specified requirements for accessing and using the stock broker's trading platform.
- The IT Department will communicate compatibility guidelines and system requirements.

Software Updates

- Users are responsible for keeping their application software up-to-date.
- The IT Department will communicate updates and provide guidance on the installation process.

Risk Management



- Users are encouraged to utilize built-in risk management features within the application software, such as stop-loss orders, to mitigate financial risks.

User Responsibilities

Responsible Use

- Users must use application software responsibly and adhere to all terms of service and user agreements.
- Unauthorized modification or distribution of software is strictly prohibited.

Credential Security

- Users are responsible for maintaining the confidentiality of their login credentials.
- Report any suspected unauthorized access immediately to the IT Department.

Technical Support

- The IT Department provides technical support for issues related to authorized application software.
- Users should contact the IT support team for assistance.

Market Data Usage

Guidelines on the appropriate use of market data through the broker's application software, including adherence to real-time data policies.

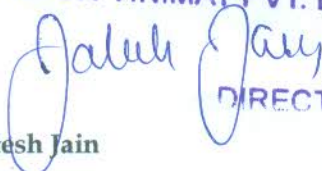
Terms of Service

Users must read and agree to the terms of service and user agreements associated with the broker's application software.

Change in the Policy will be adopted as and when required by the company and is binding on all the Staff / Employees /and Directors of the Company.

Neon Vinimay Pvt Ltd

For NEON VINIMAY PVT. LTD.


DIRECTOR

Jatesh Jain

Designated Officer

Dated: - 30/12/2023

